

24 May 2017

[REDACTED]

[REDACTED]

Papakura
AUCKLAND 2100

By email: [REDACTED]

Dear [REDACTED]

On 19 May you made several requests to the Reserve Bank, as follows:

Under section 12 of the Official Information Act (the OIA) you are seeking:

. . . information regarding my birth registration serial number [REDACTED] that was used as an investment in 1968 until the maturity date 1998 in the form of a paper documentation than

My request is directed back to you the Reserve bank where you did maintain records of paper documentation securities on your registry. I understand that Austraclear now does electronic transfers of securities without having to provide paper documentation

. . . any information on your registry about this matter. Or I am requesting that the Reserve Bank take reasonable steps to provide me with guidance as to who is now currently responsible for old registered security records.

The Reserve Bank of New Zealand does not hold the information you're seeking and so is refusing your requests under the following sections of the Official Information Act:

- section 18(e), because the document alleged to contain the information does not exist or cannot be found; and
- section 18(g)(i), because the information is not held by the organisation and the person dealing with the request has no grounds for believing the information is held by another department, Minister, or organisation.

The Austraclear system you refer to is now known as NZ Clear. The NZ Clear registry does not hold any information about any securities associated with your birth certificate number. You can search the NZ Clear register online. <http://www.rbnz.govt.nz/markets-and-payments/nzclear/overview-of-nzclear>

Under section 6 of the Privacy Act you requested:

. . . access to that particular information as it affects me the natural person. As the birth registration number conflicts with my natural being, the birth registration certificate represents a corporation person's other than I the natural person, yet has my identical details on that certificate, yet these details have been written on commercial paper and over the years was used for that purpose

Under section 7 of the Privacy Act you requested:

. . . that the information that I seek regarding the serial number of the security namely the birth certificate must be corrected.

The Reserve Bank of New Zealand does not hold the information you're seeking and so is refusing your requests under the following sections of the Privacy Act:

- section 29(2)(b), because the information does not exist or cannot be found; and
- section 29(2)(c), because the information requested is not held by the organisation and the person dealing with the request has no grounds for believing the information is either held by another agency or connected more closely with the functions or activities of another agency.

Under section 6 of the Privacy Act you requested:

. . . a Unique Identifier as the birth certificate does not identify me as a natural person but the corporation person yet holds my identical details.

The Reserve Bank does not issue unique identifiers to individuals. If you have issues with your birth certificate and identity, please contact the relevant officials at the Department of Internal Affairs. <https://www.dia.govt.nz/>

Right to complain

Under section 28 of the Official Information Act you have the right to complain to the Ombudsman about the Reserve Bank's decisions in relation to your Official Information request. Under section 27 of the Privacy Act you have the right to complain to the Privacy Commissioner or Ombudsman about the Reserve Bank's decisions in relation to your request for access to and corrections of information.

Warning about fraud

The documents you attached to your email lead me to believe that you may be the victim of a fraud.

It is possible that you have been conned into believing that you can access a substantial sum of money by filing certain paperwork with the government. This forms part of a "strawman" or "redemption" scam. There are people and websites that sell forms or offer training (after you've paid them), so that you can apply for or claim money that was supposedly set aside or invested by the government at the time you were born. In reality

there is no such money set aside. There is nothing to claim or get a refund for. The scammers are simply ripping you off by selling worthless information and forms that are worthless for making claims on non-existent money.

The UCC-1 Form that you included in your correspondence relates to the Uniform Commercial Code (UCC), which applies to business dealings in the United States of America. The UCC does not form part of New Zealand's system of laws and regulations and has no relevance to the Reserve Bank of New Zealand.

You attached to your email a screen shot of an online calculator from [TreasuryDirect.gov](https://www.treasurydirect.gov). The Reserve Bank of New Zealand has no involvement with TreasuryDirect, which is a US government website.

You can find information about this scam at the following websites:

helpforscamsandfrauds.com/strawman-and-redemption-frauds/

en.wikipedia.org/wiki/Redemption_movement

www.scambusters.org/strawman.html

www.fbi.gov/scams-and-safety/common-fraud-schemes/redemption-strawman-bond-fraud

The Reserve Bank strongly advises you to not transfer money or pay anyone in relation to this scam. The scammers do not provide you with anything useful in return for your payments to them.

Yours sincerely



Angus Barclay
External Communications Advisor